

Please type a plus sign (+) inside this box

PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **501.38435VX1**
First Inventor **MITANI, SHINICHIRO**
Title **A SEMICONDUCTOR DEVICE**
Express Mail Label No.

APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

SEE MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification **[Total Pages: 61]**
(Unreferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) **[Total Pages: 22]**
5. ☐ Oath or Declaration **[Total Pages: 4]**
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/554,754**

Prior application information: Examiner: **D. Nhu** Group Art Unit: **2818**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **020457** or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name **ANTONELLI, TERRY, STOUT & KRAUS, LLP**

Address

City

Country

Name

Signature

Gregory E. Montone

State

Telephone

Registration No. (Attorney/Agent)

Date

(703) 312-6600
(703) 312-6666
28,141
February 28, 2002

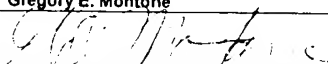
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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Gregory E. Montone	Registration No. (Attorney/Agent)	28,141
Signature		Telephone	703-312-6600
		Date	02/28/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: MITANI et al.
Serial No.: Not yet assigned
Filed: On even date
For: A SEMICONDUCTOR DEVICE
Group: 2818
Examiner: D. Nhu

PROPOSED AMENDMENT TO THE DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

February 28, 2002

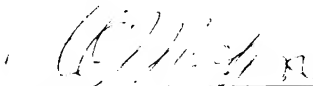
Sir:

It is proposed that the drawings in the above-identified application be amended in accordance with the attached red-lined print, and approval of these drawing corrections is respectfully requested at this time.

Upon receipt of approval of the amendment to the drawings and receipt of the official Notice of Allowance, the drawing amendments will be effected in accordance with the new procedures set forth in 1017 OG 4, April 6, 1982.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP



Gregory E. Montone
Registration No. 28,141

GEM/kd
(703) 312-6600